

Nagindas Khandwala College (Autonomous)
Affiliated to University of Mumbai



**MKES's Nagindas Khandwala College (Autonomous),
Gate No 5, Bhavishya Bharat Campus, S. V. Road, Malad (West)
Mumbai-400 064**

Programme Code:UBFM

Bachelor of Commerce in Financial Markets (BFM)

Three Year Integrated Programme -

Six Semesters

Course Structure

Under Choice Based Credit, Grading and Semester System

Implemented during Academic Year- 2019-20

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1. Preamble

Well-functioning financial markets, such as the bond market, stock market and foreign exchange market, are the key factors in producing high economic growth. There is growing demand of Financial Market specialists to deal with increasing financial reporting requirements and complicated business situations. Commerce graduates, having knowledge and expertise of Money, Financial Institutions and Financial Risks are the preferred choice of Listed Companies, MNC's & Banks. This program is so created to make our graduates employable.

This Bachelor's Degree Program will provide students the right blend of knowledge and skills along with practical exposure.

2. Objectives

This program of B.Com in Financial Markets is structured to provide graduates with practical skills required in financial services arena. The main objective of B.Com (Financial Markets) is:-

- To equip the learners with necessary theoretical and practical know-how and provide an academic base so that they can apply the same in researching financial markets for investment.
- To provide an integrated perspective of financial system along with a good amount of exposure to real life cases / technical knowhow.
- To train students with knowledge in the field of Equity Market, Debt Market and Risk management.
- To enable the learners to enhance their knowledge about financial markets and their linkages to the economy.

3. Outcome

The B.Com (Financial Markets) Programme will provide a learner an in-depth knowledge of the skills required to take up jobs in the BFSI sector.

The learner shall enhance his:

- Analytical Skills
- Mathematical Skills
- Investment Skills
- Knowledge on various methods and techniques that will help them in understanding the functioning of capital market in detail
- Exposure to practical applications for future career.

4. Scheme of Examination:

The scheme of Examination shall be divided into parts i.e. Continuous Internal Evaluation including Assignment, Projects, Seminars, Case Studies and Class Tests which will be of 25 marks and the Semester End Examinations which will be of 75 marks. The semester wise Credit Points will be varied from course to course but the value of Credits for Under-Graduate Programme shall be of 120 Credits. Students will have to earn 8 extra credits under autonomy. This will be achieved by doing 1 Course on Environmental Science, 1 Short Term Course from an approved list of certifications and a Compulsory Course in Tally Pro and Advance Excel.

The Credits are defined in terms of the learner's hours which are divided into two parts such as Actual and Notional. The value of a particular course can be measured in number of Credit Points. The value of One (01) Credit is equal to 15 Hours of learners' load

Scheme of Total Credits

| Sr. No. | Year | Credits |
|---------|-----------------------------------|---------|
| 1 | Year 1 | 40 |
| 2 | Year 2 | 40 |
| 3 | Year 3 | 40 |
| | Total Credits from Academics | 120 |
| | Additional Credits | 8 |
| | Total Credits for Award of Degree | 128 |

4.1 Credit Based Evaluation System Scheme of Examination

For all 6 semesters, the performance of the learners shall be evaluated into two components. The first component shall carry 25% marks which will be an Continuous Internal Evaluation while the second component shall carry 75% marks at semester end examination.

The allocation of marks for the Continuous Internal Evaluation 25% and Semester End Examination 75% are as shown below:

4.2 Structure of Continuous Internal Evaluation – 25% = 25 marks

| Sr.No. | Particulars | Marks |
|--------|---|----------|
| 1 | Class test held in the given semester, OR | 20 marks |
| 2 | Subject specific project, assignments, reference book notes, case study, newspaper article submission (as per the faculty's discretion) | 20 marks |
| 3 | Active participation in routine class instructional deliveries (and in practical work, tutorial, field work etc. as the case may be) | 5 marks |

Semester End Examination will be organized after all modules of the course are taught in the class. It will be a written examination / or as per the needs of the course a practical examination or a combination of both. This examination will be for 75 marks.

The assessment of Continuous Internal Evaluation and Semester End Examination as mentioned above for the Semesters I to VI shall be processed by the College –

‘Institutions of their Learners’ and issue the grade cards to them after the conversion of marks into grade as the procedure mentioned below.

The learners to pass a course shall have to obtain a minimum of 40% marks in aggregate for each course where the course consists of Continuous Internal Evaluation & Semester End Examination. The learner shall obtain minimum of 40% marks (i.e. 10 out of 25) in the Continuous Internal Evaluation and 40% marks in Semester End Examination (i.e. 30 Out of 75) separately, to pass the course and minimum of Grade D in each project, wherever applicable, to pass a particular semester. A learner will be said to have passed the course if the learner passes the Continuous Internal Evaluation & Semester End Examination together.

4.3 Passing Standards

| Grade | Marks | Grade Points |
|-------|--------------|--------------|
| O | 80 & Above | 10 |
| A+ | 70 to 79.99 | 9 |
| A | 60 to 69.99 | 8 |
| B+ | 55 to 59.99 | 7 |
| B | 50 to 54.99 | 6 |
| C | 45 to 49.99 | 5 |
| D | 40 to 44.99 | 4 |
| F | Less than 40 | 0 |

4.4 Approved Certifications for Additional Credits

Table for Additional Autonomy Credits

| Table for Autonomy Credits | | | | | |
|--|---|-----------------------|----------------|----------------|---------------|
| Semester | Component | Duration | No. of Courses | Credit/ Course | Total Credits |
| A. Ability Enhancement Compulsory Course (AECC) | | | | | |
| 3 | AECC I Environment Science | 1 Sem | 1 | 2 | 2 |
| 4 | AECC II Tally Pro and Advance Excel | 60 Hrs. (1 Sem) | 1 | 4 | 4 |
| B. Skill Enhancement Course (SEC) | | | | | |
| 1-5 | SEC I (Short Term Courses) | 30 hrs (Table – 1) | -- | 2 | 2 |
| | | | | Total | 8 |

All B. Com., B-Section (BAF, BBI, BFM) and BMS (Finance) students graduating from Nagindas Khandwala College will earn 8 (Eight) additional credits under autonomy. It is compulsory for every student to earn these credits for grant of a degree.

The scheme of credits is explained below.

Course on Environmental Science (EVS)

This course is offered in sem. III for 2 credits. It is compulsory for all students to complete this course. Lectures for this are arranged in college.

Course on Tally Pro and Advance Excel

This is a compulsory course offered to all students of B.Com., B-Section (BAF, BBI, BFM) and BMS (Finance) during their second year. This course is for 4 credits. Students will learn Tally – Professional Level and Advance Excel. Students who have completed IT training of Institute of Chartered Accountants of India (ICAI) will be granted exemption from this course on submission of the certificate of passing from ICAI.

Short Term Courses (STC)

List of Short Term Courses Offered Semester Wise From 2019-20

| Sr. No. | STC offered in Semester 1,3 & 5 | STC offered in Semester 2 & 4 |
|---------|---|--|
| 1 | Basic Course in Brahmavidya - ₹ 1,500 | Add On Certificate course on Child Care & Child Development ₹2,500 |
| 2 | Pranic Healing - ₹ 3,300 | Add On Certificate course on Travel & Tourism Management ₹2,500 |
| 3 | Understanding Mental Health - ₹ 5,000 | Add On Certificate course on Computer Application ₹2,500 |
| 4 | Certificate in IFRS ₹ 3,750 | Add On Certificate course on Functional English ₹2,500 |
| 5 | Certificate in QGIS ₹ 3,000 | Certificate Course in Gandhian Studies ₹2,000 |
| 6 | Finding an 'Entrepreneur' in you - ₹2,500 | Certificate in GIS ₹ 3,000 |
| 7 | Working with Vectors (COREL DRAW) – ₹ 4,000 | Tie and Dye ₹ 3,000 |
| 8 | Certificate in Visual Merchandising – ₹ 3,000 | Jewellery Making ₹ 3,000 |
| 9 | Certificate Course in Indian Embroidery - ₹ 3,000 | Working with Bitmap (Photoshop) ₹ 5,000 |
| 10 | Certificate in Stylization - ₹ 3,000 | Grooming and Personality Development ₹ 3,000 |
| 11 | CASI New York Certification - ₹ 3,540 | Mind your Money - ₹ 2,000 |
| 12 | Certificate in Photography - ₹3,540 | Certificate Course in Banking - ₹ 2,500 |
| 13 | Volunteering Community Services CASI ₹ 300 | Understanding Pollution through Mobile Application ₹ 500 |
| 14 | Certificate in Spanish Language ₹ 2,000 | Digital Marketing ₹7,000 |
| 15 | Fundamental of Capital Market and Derivatives ₹ 4,000 | Certificate in Mandarin ₹ 2,000 |
| 16 | Equity Trading Bootcamp ₹ 4,000 | Certificate for introduction Beauty ₹ 7,500 |
| 17 | Financial Statement Analysis ₹ 1,500 | Certificate for introduction to Hair Dressing ₹ 7,500 |
| 18 | Certificate in Finance ₹ 3,540 | Certificate course on music ₹ 5,000 |
| 19 | Certificate in Methods of Quantitative techniques – ₹ 3,000 | Certificate Course in Sports Management ₹ 4,500 |
| 20 | Mastering Aptitude Skills - ₹2,500 | Mastering Analytical Skills ₹ 2,500 |
| 21 | Enhancing Communication Skills - ₹2,500 | Financial Services basics ₹ 2,500 |
| 22 | | Certificate Course in Mobile Journalism ₹ 10,000 |

Note: -

Khandwala College offers 43 Short Term Courses. These courses are divided in even and odd semesters and are organized in vacations. Students have to complete any **ONE** Short Term Course in any one of the semesters between 1to 5. Courses offered in Sem. 1,3& 5 are organized during the Diwali break and courses offered in Sem. 2 & 4 are organized in the month of April.

3.5 Exemptions from STC

Students satisfying any one of the criteria listed below will be granted exemption from registering for a short-term course. 2 credits under autonomy will be granted to students who have :-

1. Passed IPCC examination; either one group or both groups; conducted by Institute of Chartered Accountants of India. (ICAI)
2. Passed Executive level of examination conducted by Institute of Company Secretaries of India (ICSI)
3. Passed Intermediate level of examination conducted by Institute of Cost and Works Accountants of India (ICWAI)
4. Passed all Knowledge level papers F1 to F4 at the examination conducted by ACCA after registering from our college
5. Passed basic level of examination conducted by CIMA after registering from our college
6. Passed a certificate course examination on Foreign Trade conducted by Indian Merchants' Chamber (IMC)
7. Registered with NSS unit of Khandwala College, who have completed 120 hours with participation at the rural camp, and authorities in charge of NSS at college level have recommended their name for exemption.
8. Won prizes at University, State, National or International level in any sports activity- individual or team - and their name has been recommended by authorities in charge of Gymkhana at college level.
9. Enrolled for NCC unit of Khandwala College, have completed mandatory hours of training and have attended all programs of NCC at Khandwala College and their name has been recommended by authorities in charge of NCC at college level.
10. Represented Khandwala College at any University Cultural Festival and have won prize will be entitled to exemption on recommendation of their name by the Students Council in charge.

Bachelor of Commerce (Financial Markets) Programme

Under Choice Based Credit, Grading and Semester System

Three Year Integrated Programme -

Six Semesters

Basic Structure: Distribution of Courses

| | | | |
|---|---|--|------------|
| 1 | Ability Enhancement Compulsory Course (AECC) | 4 Papers of 3 Credits Hrs. each (Total Credits Hrs. $4*3$) | 12 |
| 2 | Skill Enhancement Compulsory Course (SEC) | 2 Papers of 3 Credits Hrs. each (Total Credits Hrs. $2*3$) = 06 4 Papers of 2 Credits Hrs. each (Total Credits Hrs. $4*2$) = 08 | 14 |
| 3 | Core Course (CC) | 4 Papers of 4 Credits Hrs. each (Total Credits Hrs. $4*4$)= 16 14 Papers of 3Credits Hrs. each (Total Credits Hrs. $14*3$)= 42 | 58 |
| 4 | Discipline Specific Compulsory Course (DSC) | 8 Papers of 3 Credits Hrs. each (Total Credits Hrs. $8*3$) | 24 |
| 5 | Discipline Specific Elective (DSE) | 4 Papers of 3 Credits Hrs. each (Total Credits Hrs. $4*3$)= 12 | 12 |
| | Total Credits Hrs | | 120 |

Bachelor of Commerce (Financial Markets) Programme
Under Choice Based Credit, Grading and Semester System
Curriculum Framework
(Implemented during Academic year 2019-20)

FIRST YEAR

| Sr. No. | Semester I | Subject code | Credits | Sr. No. | Semester II | Subject code | Credits |
|---------|---|--------------|-----------|---------|---|--------------|-----------|
| | Ability Enhancement Compulsory Course (AECC) | | | | Ability Enhancement Compulsory Course (AECC) | | |
| 1 | AECC-1 Business Communication-I | 1611UFMBC | 3 | 1 | AECC-3 Business Communication-II | 1721UFMBC | 3 |
| 2 | AECC-2 Business Environment | 1612UFMBE | 3 | 2 | AECC-4 Accounting in Corporate Environment | 1722FMAE | 3 |
| | Skill Enhancement Compulsory Course (SEC) | | | | Skill Enhancement Compulsory Course (SEC) | | |
| 3. | SEC-1 Foundation Course – I | 1913UFMFC | 2 | 3. | SEC-2 Foundation Course – II | 1723UFMFC | 2 |
| | Core Course | | | | Core Course | | |
| 4 | CC-1 Business Economics-I | 1614UFMBE | 3 | 4 | CC-4 Computer Skills-I | 1624UFMCS | 3 |
| 5 | CC-2 Financial Accounting-I | 1615UFMFA | 3 | 5 | CC-5 Financial Accounting-II | 1625UFMFA | 3 |
| 6 | CC-3 Introduction to Financial System | 1616UFMFS | 3 | 6 | CC-6 Principles of Management | 1626UFMPM | 3 |
| | Discipline Specific Compulsory Course(DSC) | | | | Discipline Specific Compulsory Course(DSC) | | |
| 7 | DSC-1 Business Mathematics | 1617UFMBM | 3 | 7 | DSC-2 Business Statistics | 1627UFMBS | 3 |
| | TOTAL | | 20 | | TOTAL | | 20 |

SECOND YEAR
(Implemented during Academic year 2019-2020)

| Sr. No. | Semester III | Subject code | Credits | Sr. No. | Semester IV | Subject code | Credits |
|---------|---|--------------|-----------|---------|---|--------------|-----------|
| | <i>Skill Enhancement Compulsory Course (SEC)</i> | | | | <i>Skill Enhancement Compulsory Course (SEC)</i> | | |
| 1 | SEC-3 Management Accounting | 1731UFMMA | 3 | 1 | SEC-5 Corporate Finance | 1741UFMCF | 3 |
| 2 | SEC-4 Foundation Course- III (Money Market) | 1732UFMFC | 2 | 2 | SEC-6 Foundation Course - IV (Foreign Exchange Market) | 1742UFMFC | 2 |
| | <i>Core Course (CC)</i> | | | | <i>Core Course (CC)</i> | | |
| 3 | CC-7 Debt Market-I | 1733UFMDM | 3 | 3 | CC-11 Debt Market-II | 1743UFMDM | 3 |
| 4 | CC-8 Equity Market-I | 1934UFMEM | 3 | 4 | CC-12 Equity Market - II | 1944UFMEM | 3 |
| 5 | CC-9 Commodity Market | 1735UFMCM | 3 | 5 | CC-13 Commodity Derivatives | 1745UFMCD | 3 |
| 6 | CC-10 Computer Skills-II | 1736UFMCS | 3 | 6 | CC-14 Business Economics-II | 1746UFMBE | 3 |
| | <i>Discipline Specific Compulsory Course (DSC)</i> | | | | <i>Discipline Specific Compulsory Course (DSC)</i> | | |
| 7 | DSC-3 Business Law-I | 1737UFMBL | 3 | 7 | DSC-4 Business Law- II | 1747UFMBL | 3 |
| | TOTAL | | 20 | | TOTAL | | 20 |

THIRD YEAR

(Implemented during Academic year 2019-2020)

| Sr No | Semester V | Subject code | Credits | Sr. No. | Semester VI | Subject code | Credits |
|-------|---|--------------|-----------|---------|---|--------------|-----------|
| | Core Course (CC) | | | | Core Course (CC) | | |
| 1 | CC-15 Financial Derivatives | 1851UFMFD | 4 | 1 | CC-17 Risk Management | 1861UFMRM | 4 |
| 2 | CC-16 Business Ethics and Corporate Governance | 1852UFMCG | 4 | 2 | CC-18 Internship based project work | 1962UFMIP | 4 |
| | Discipline Specific Compulsory Course (DSC) | | | | Discipline Specific Compulsory Course (DSC) | | |
| 3 | DSC-5 Corporate Accounting | 1853UFMCA | 3 | 3 | DSC- 7 Venture Capital and Private Equity | 1863UFMVC | 3 |
| 4 | DSC-6 Equity Research | 1854UFMER | 3 | 4 | DSC-8 Strategic Corporate Finance | 1864UFMCF | 3 |
| | Discipline Specific Elective (DSE) | | | | Discipline Specific Elective (DSE) | | |
| 5,6 | Discipline Specific Elective (DSE-1,2) (Any <i>two</i> of group A) | | | 5,6 | Discipline Specific Elective (DSE-3,4) (Any <i>two</i> of group B) | | |
| | a. Direct Tax- Income Tax | 1855UFMDT | 3 | | a. Indirect Tax-GST | 1865UFMIT | 3 |
| | b. Marketing in Financial Services | 1856UFMFS | 3 | | b. Organizational Behaviour | 1966UFMOB | 3 |
| | c. Technical Analysis | 1856UFMTA | 3 | | c. Mutual Fund Management | 1866UFMMF | 3 |
| | d. Business Valuation | 1856UFMBV | 3 | | d. Corporate Restructuring | 1866UFMCR | 3 |
| | TOTAL | | 20 | | TOTAL | | 20 |

Nagindas Khandwala College (Autonomous)

**Syllabus and Question Paper Pattern
of Courses of
Bachelor of Commerce (Financial Markets) Programme
First Year
*Semester I and II***

**Under Choice Based Credit, Grading and Semester System
(Implemented during Academic Year 2019-2020)**

Bachelor of Commerce (B.Com.) Programme
Under Choice Based Credit, Grading and Semester System
Curriculum Framework
(Implemented during Academic year 2019-2020)

FIRST YEAR

| Sr. No. | Semester I | Subject code | Credits | Sr. No. | Semester II | Subject code | Credits |
|---------|---|--------------|-----------|---------|---|--------------|-----------|
| | Ability Enhancement Compulsory Course (AECC) | | | | Ability Enhancement Compulsory Course (AECC) | | |
| 1 | AECC-1 Business Communication-I | 1611UFMBC | 3 | 1 | AECC-3 Business Communication-II | 1721UFMBC | 3 |
| 2 | AECC-2 Business Environment | 1612UFMBE | 3 | 2 | AECC-4 Accounting in Corporate Environment | 1722UFMAE | 3 |
| | Skill Enhancement Compulsory Course (SEC) | | | | Skill Enhancement Compulsory Course (SEC) | | |
| 3. | SEC-1 Foundation Course – I | 1913UFMFC | 2 | 3. | SEC-2 Foundation Course – II | 17j23UFMFC | 2 |
| | Core Course | | | | Core Course | | |
| 4 | CC-1 Business Economics-I | 1614UFMBE | 3 | 4 | CC-4 Computer Skills-I | 1724UFMCS | 3 |
| 5 | CC-2 Financial Accounting-I | 1615UFMFA | 3 | 5 | CC-5 Financial Accounting-II | 1725UFMFA | 3 |
| 6 | CC-3 Introduction to Financial System | 1616UFMFS | 3 | 6 | CC-6 Principles of management | 1726UFMPM | 3 |
| | Discipline Specific Compulsory Course(DSC) | | | | Discipline Specific Compulsory Course(DSC) | | |
| 7 | DSC-1 Business Mathematics | 1617UFMBM | 3 | 7 | DSC-2 Business Statistics | 1727UFMBS | 3 |
| | TOTAL | | 20 | | TOTAL | | 20 |